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Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SIDE-EMISSION TYPE SEMICONDUCTOR LIGHT-

EMITTING DEVICE AND MANUFACTURING METHOD

THEREOF

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、
（該当する場合） _____ に訂正されました。☒ was filed on April 23, 2001
as United States Application Number No. 10/019,508
PCT International Application Number
PCT/JP01/03488 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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Japanese Language Declaration
(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一か国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願

- | | |
|-----------------|-----------|
| (1) 2000-122255 | Japan |
| (Number) | (Country) |
| (番号) | (国名) |
| (2) 2000-134159 | Japan |
| (Number) | (Country) |
| (番号) | (国名) |
| (3) 2000-152249 | Japan |

私は、第35編米国法典119条(e)項に基づいて下記の米国外特許出願規定に記載された権利をここに主張いたします。

(Application No.)
(出願番号)

(Filing Date)
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私は、下記の米国法典第35編120条に基づいて下記の米国外特許出願に記載された権利、又は米国外を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外特許出願に開示されていない限り、その先行米国外出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、運邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、私自身の知識に基づいて本宣言書で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

24 April 2000

(Day/Month/Year Filed)

(出願年月日)

8 May 2000

(Day/Month/Year Filed)

(出願年月日)

24 May 2000

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available, between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

And I hereby appoint as principal attorneys:

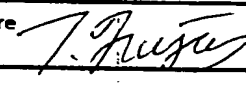
書類送付先

The attorneys and agents of Hoffmann & Baron, LLP, as listed on the attachment (Page 5a)

直接電話連絡先: (名前及び電話番号)

Please direct all communications to the following address:

Gerald T. Bodner
Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, New York 11791

唯一または第一発明者名	Full name of sole or first inventor Takehiro FUJII	
発明者の署名	日付	Inventor's signature  Date March 12, 2002
住所	Residence Kyoto-shi, Kyoto, Japan	
国籍	Citizenship Japanese	
私書箱	Post Office Address c/o Rohm Co., Ltd. 21 Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi Kyoto 615-0045 Japan	
第二共同発明者名	Full name of second joint inventor, if any	
第二共同発明者の署名	日付	Second inventor's signature Date
住所	Residence	
国籍	Citizenship	
私書箱	Post Office Address	

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

第三共同発明者名		Full name of third joint inventor, if any	
第三共同発明者の署名	日付	Third inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第四共同発明者名		Full name of fourth joint inventor, if any	
第四共同発明者の署名	日付	Fourth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第五共同発明者名		Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第六共同発明者名		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		

第七共同発明者名		Full name of seventh joint inventor, if any	
第七共同発明者の署名	日付	Seventh inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第八共同発明者名		Full name of eighth joint inventor, if any	
第八共同発明者の署名	日付	Eighth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第九共同発明者名		Full name of ninth joint inventor, if any	
第九共同発明者の署名	日付	Ninth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第十共同発明者名		Full name of tenth joint inventor, if any	
第十共同発明者の署名	日付	Tenth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	

ATTACHMENT 5a

Hoffmann & Baron, LLP

6900 Jericho Turnpike
Syosset, New York 11791

Charles R. Hoffmann, Reg. No. 24,102
Ronald J. Baron, Reg. No. 29,281
Gerald T. Bodner, Reg. No. 30,449
A. Thomas Kammer, Reg. No. 28,226
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Kellyanne Merkel, Reg. No. 43,800
Keith R. Lange, Reg. No. 44,201
Barry Jacobsen, Reg. No. 43,689
John S. Sopko, Reg. No. 41,321



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JUNE 27, 2002

OK
H.B.
HOFFMANN & BARON, LLP
GERALD T. BODNER, ESQ.
6900 JERICO TURNPIKE
SYOSSET, NY 11791

Secretary of Commerce For Intellectual Property and
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RECORDATION DATE: 04/18/2002

REEL/FRAME: 012833/0339
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FUJII, TAKEHIRO

DOC DATE: 03/12/2002

ASSIGNEE:

ROHM CO., LTD.
21, SAIIN MIZOSAKI-CHO, UKYO-KU
KYOTO-SHI, KYOTO 615-0045, JAPAN

SERIAL NUMBER: 10019508
PATENT NUMBER:

FILING DATE: 04/11/2002
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
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ket No.: 362-59 PCT/US

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Takehiro Fujii

4.18.02

Additional names(s) of conveying party(ies) ___ Yes X No

3. Nature of conveyance:

X Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other

Execution Date: March 12, 2002

2. Name and address of receiving party(ies):

Name: Rohm Co., Ltd.Internal Address: 21, Saiin Mizosaki-cho, Ukyo-kuKyoto-shi, KYOTO 615-0045 JapanAdditional names(s) & address(es)? ___ Yes X No

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/019,508

B. Patent No.(s):

Additional numbers attached: ___ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Bodner, Esq.Internal Address: Hoffmann & Baron, LLP6900 Jericho TurnpikeSyosset, New York 117916. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41):.....\$ 40.00X Enclosed - Any excess or insufficiency should be credited or debited to deposit account.

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald T. Bodner

Name of Person Signing

Gerald T. Bodner

Signature

April 11, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5

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15/01/2002 TDI AZI 00000029 10019508

11 FC:581

40.00 0P

Attorney's Docket No.: _____

PATENT

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Takehiro FUJII

Name

c/o Rohm Co., Ltd.

21, Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi, Kyoto 615-0045

Address

Japan

Japan

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

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hereby sells, assigns and transfers to

ASSIGNEE:

Rohm Co., Ltd.

(Type or print name of ASSIGNEE)

21, Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi,

Address

Kyoto 615-0045 Japan

Japan

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

SIDE-EMISSION TYPE SEMICONDUCTOR LIGHT-EMITTING DEVICE AND

MANUFACTURING METHOD THEREOF

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 10/019,508 filing date: April 23, 2001
(e) ☒ International application No. PCT/ JP01/03488
(f) ☐ U.S. Patent No. _____ issued _____
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and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X March 12, 2002
Date of signing

X 
SIGNATURE of ASSIGNOR

(If ASSIGNOR is a legal entity, complete the following information)

Type or print the name of the above person
authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

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Docket No.: 362-59 PCT/US

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Takehiro Fujii

Additional names(s) of conveying party(ies) ___ Yes X No

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Name: Rohm Co., Ltd.Internal Address: 21, Saiin Mizosaki-cho, Ukyo-kuKyoto-shi, KYOTO 615-0045 JapanAdditional names(s) & address(es)? ___ Yes X No

3. Nature of conveyance:

X Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ OtherExecution Date: March 12, 2002

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/019,508

B. Patent No.(s):

Additional numbers attached: ___ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Bodner, Esq.Internal Address: Hoffmann & Baron, LLP6900 Jericho TurnpikeSyosset, New York 117916. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41):.....\$ 40.00X Enclosed - Any excess or insufficiency should be credited or debited to deposit account.

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8. Deposit account no: 08-2461

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Gerald T. Bodner

Name of Person Signing

Gerald T. Bodner

Signature

April 11, 2002

Date

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